



- j) **Registrar & Transfer Agent** : M/s Alankit Assignment Ltd., Alankit House, 4E/2, Jhandewalan Extension, New Delhi- 110 055 have been acting as the Registrar and Share Transfer Agent for shares of the company.
- k) **Share Transfer System** : The transfer of shares in physical form is processed by the Secretarial Department of the Company on the basis of data forwarded by the Share Transfer Agent, M/s Alankit Assignment Ltd. within the prescribed time. The Share Transfer Committee/Board of Directors approves transfer of shares in physical form, transmission of shares, transposition of name, consolidation/split of share Certificates, remat of shares and issue of duplicate share certificates in lieu of the lost/misplaced share certificates. The Share Transfer Committee of the Board of Directors meet as and when required to consider and approve the share transfer/transmission applications.
- In case of shares in Electronic form the transfers are processed through Share Transfer Agent by NSDL/CDSL through respective Depository participants and the details on a regular basis are placed before the Share Transfer Committee of the Board of Directors/ Board of Directors.

**L) DISTRIBUTION OF SHAREHOLDING AND SHAREHOLDING PATTERN AS ON 31.03.2020**

**(I) PAN CONSOLIDATED DISTRIBUTION OF SHAREHOLDING:**

Category	Total				Physical		Demat		Both
	Cases	%	Shares	%	Cases	Share	Cases	Share	Total
1-500	2172	81.65	176473	3.36	513	49565	1721	126908	62
501-1000	188	7.07	137048	2.61	54	34862	134	102186	0
1001-2000	122	4.59	181752	3.46	21	26744	102	155008	1
2001-3000	39	1.47	98375	1.87	6	14834	33	83541	0
3001-4000	26	0.98	91096	1.74	2	7339	24	83757	0
4001-5000	25	0.94	113306	2.16	2	8401	23	104905	0
5001-10000	36	1.35	253916	4.84	4	32008	32	221908	0
10001 and above	52	1.95	4198034	79.96	7	100734	45	4097300	0
<b>Total</b>	<b>2660</b>	<b>100.00</b>	<b>5250000</b>	<b>100.00</b>	<b>609</b>	<b>274487</b>	<b>2114</b>	<b>4975513</b>	<b>63</b>

**SHAREHOLDING PATTERN:**

	Physical Form		Demat Form		Total	
	No. of Shares	(%age)	No. of Shares	(%age)	No. of Shares	(%age)
<b>A. Promoters' Holding</b>						
1. Indian Promoters	-	-	2882044	54.896	2882044	54.896
2. Foreign Promoters	-	-	-	-	-	-
<b>Total (A)</b>	-	-	2882044	54.896	2882044	54.896
<b>B. Non-Promoters Holding</b>						
<b>(i) Institutional Investors</b>						
1. Mutual Funds and UTI	-	-	-	-	-	-
2. Banks, Financial Institutions, Insurance Companies, (Central/State Government Institutions, Non-Government Institutions)	-	-	340228	6.480	340228	6.480
3. FIIs	-	-	-	-	-	-
<b>Sub-total (B-i)</b>	-	-	340228	6.480	340228	6.480
<b>(ii) Non-Institutional</b>						
1. Private Corporate Bodies	18676	0.356	221886	4.226	240562	4.582
2. Indian Public	1016065	19.354	766647	14.603	1782712	33.957
3. NRIs	2277	0.043	2177	0.041	4454	0.085
<b>Sub total (B-ii)</b>	<b>1037018</b>	<b>19.753</b>	<b>990710</b>	<b>18.870</b>	<b>2027728</b>	<b>38.624</b>
<b>Total (B)</b>	<b>1037018</b>	<b>19.753</b>	<b>1330938</b>	<b>25.351</b>	<b>2367956</b>	<b>45.104</b>
<b>Grand Total (A+B)</b>	<b>1037018</b>	<b>19.753</b>	<b>4212982</b>	<b>80.247</b>	<b>5250000</b>	<b>100.000</b>

CONTD.....

**(M) DEMATERIALIZATION**

The Shares of the Company can be held in dematerialized mode with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL). The ISIN No. of the Company is INE 117 H 01019. As on 31.3.2020, 94.77% of the Equity Shares of the company were in dematerialized form and the balance 5.23% shares in physical form.

**STATUS OF DEMATERIALIZATION AS ON MARCH 31, 2020**

Particulars	No. of Shares	% of Total Capital	No. of Accounts
National Securities Depository Limited	3676473	70.03	1998
Central Depository Services (India) Limited	1299040	24.74	1376
<b>TOTAL DEMATERIALIZED</b>	<b>4975513</b>	<b>94.77</b>	<b>3374</b>
PHYSICAL	274487	5.23	4780
<b>GRAND TOTAL</b>	<b>5250000</b>	<b>100.00</b>	<b>8154</b>

**n) Outstanding GDRs/ADRs/ warrants or any convertible Instruments, Conversion Dates and likely impact on equity**

The Company has not issued any GDRs/ADRs/Warrants or any convertible instruments.

**EQUITY SHARES IN THE SUSPENSE ACCOUNT**

In terms of Clause 5A(I) of the Listing Agreement, the Company reports the following details in respect of equity shares lying in the suspense account which were issued to the shareholders of the Company:

	Number of shareholders	Number of equity shares
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on April 1, 2019	141	27524
Number of shareholders who approached the Company for transfer of shares from suspense account during the year	0	0
Number of shareholders to whom shares were transferred from the suspense account during the year	0	0
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on March 31, 2020	141	27524

No share was transferred from the suspense account to the shareholders' accounts, during the year.

The voting rights on the shares outstanding in the suspense account as on March 31, 2020 shall remain frozen till the rightful owner of such shares claims the shares.

- p) Plant Location
- |   |  |
|---|--|
| i) Upper Doab Sugar Mills,<br>SHAML I – 247 776 (U.P.)              | Ph .No.: 01398–250064<br>Fax No. : 01398–250032  |
| ii) Shamli Distillery & Chemical Works,<br>SHAML I – 247 776 (U.P.) | Ph. No. : 01398–250100<br>Fax No. : 01398–250097 |

Address for Correspondence	Registered Office	Share Transfer Agent
	4–A, Hansalaya 15, Barakhamba Road New Delhi–110 001 <b>Telephones:</b> 011–23316409 011–23310414 <b>Fax:</b> 011–23722193	M/s. Alankit Assignments Ltd., Alankit House' 4E/2, Jhandewalan Extn. New Delhi–110 055 <b>Telephones:</b> 011–23541234 011–42541234 <b>Fax:</b> 011–42540064

Shareholders holding shares in electronic mode should address all their correspondence to their respective Depository Participants.

- r) Secretarial Auditor : As a measure of good Corporate Governance practice, the Board of Directors of the Company appointed M/s Rahul Saini & Co., Company Secretaries, to conduct the Secretarial Audit for the financial year ended March 31, 2020.
- s) Secretarial Audit for reconciliation of capital : As stipulated by SEBI, a Practicing Company Secretary carries out Secretarial Audit to reconcile the total admitted capital with National Securities Depository Limited (NSDL) and Central Depository Services



(India) Limited (CDSL) and the total issued and listed capital. This Audit is carried out every quarter and the report thereon is submitted to the Stock Exchange where the shares of the Company are listed.

The said Audit Reports confirm that the total issue / paid up capital is in agreement with the total number of shares in physical form and the total number of dematerialized shares held with NSDL and CDSL.

**(15) Compliance officer**

: Mr. Ajay Kumar Jain is the Compliance officer for complying with the requirement of SEBI (LODR) Regulations 2015 with the BSE Limited.

**(16) Compliance Certificate of the Auditors**

: The Secretarial Auditors have certified that the Company has complied with the conditions of Corporate Governance as stipulated in (LODR) Regulations, 2015 and the same is annexed to the Directors' Report on Corporate Governance.

The certificate from the Secretarial Auditors will be sent to the listed Stock Exchanges along with Annual Report of the Company.

**(17) CEO/CFO Certification**

: Pursuant to SEBI (LODR) Regulations, 2015, the CEO/CFO have submitted the desired certificate to the Board of Directors & the same has been taken on record by the Board of Directors in their meeting held on 11.08.2020

For and on Behalf of the Board of Directors of  
Sir Shadi Lal Enterprises Ltd

Place : New Delhi

Dated: 11th August 2020

**Rajat Lal**  
**Managing Director**  
DIN-(00112489)

**R.C.Sharma**  
**Chairman**  
DIN (00023274)