



Transfer Committee/Board of Directors approves transfer of shares in physical form, transmission of shares, transposition of name, consolidation/split of share Certificates, remat of shares and issue of duplicate share certificates in lieu of the lost/misplaced share certificates. The Share Transfer Committee of the Board of Directors meet as and when required to consider and approve the share transfer/transmission applications.

In case of shares in Electronic form the transfers are processed through Share Transfer Agent by NSDL/CDSL through respective Depository participants and the details on a regular basis are placed before the Share Transfer Committee of the Board of Directors/ Board of Directors.

L) DISTRIBUTION OF SHAREHOLDING AND SHAREHOLDING PATTERN AS ON 31.03.2021

(I) PAN CONSOLIDATED DISTRIBUTION OF SHAREHOLDING:

Category	Total				Physical		Demat		Both
	Cases	%	Shares	%	Cases	Share	Cases	Share	Total
1-500	2092	82.43	168789	3.22	509	49226	1646	119563	63
501-1000	175	6.90	124816	2.38	53	33898	122	90918	0
1001-2000	104	4.10	151020	2.88	20	26213	84	124807	0
2001-3000	38	1.50	95509	1.82	6	14834	32	80675	0
3001-4000	22	0.87	77441	1.48	2	7339	20	70102	0
4001-5000	22	0.87	99708	1.90	2	8401	20	91307	0
5001-10000	36	1.42	251143	4.78	4	32008	32	219135	0
10001 and above	49	1.93	4281574	81.55	7	100734	42	4180840	0
Total	2538	100.00	5250000	100.00	603	272653	1998	4977347	63

SHAREHOLDING PATTERN:

	Physical Form		Demat Form		Total	
	No. of Shares	(%age)	No. of Shares	(%age)	No. of Shares	(%age)
A. Promoters' Holding						
1. Indian Promoters	-	-	2980675	56.775	2980675	56.775
2. Foreign Promoters	-	-	-	-	-	-
Total (A)	-	-	2980675	56.775	2980675	56.775
B. Non-Promoters Holding						
(i) Institutional Investors						
1. Mutual Funds and UTI	-	-	-	-	-	-
2. Banks, Financial Institutions, Insurance Companies, (Central/State Government Institutions, Non-Government Institutions)	-	-	340228	6.481	340228	6.481
3. FIIs	-	-	-	-	-	-
Sub-total (B-i)	-	-	340228	6.481	340228	6.481
(ii) Non-Institutional						
1. Private Corporate Bodies	18676	0.355	230303	4.387	248979	4.742
2. Indian Public	251700	4.794	1215003	23.143	1467703	27.937
3. NRIs	2277	0.044	2177	0.041	4454	0.085
4. Clearing Member	-	-	2071	0.039	2071	0.039
5. Resident HUF	-	-	76354	1.454	76354	1.454
6. IEPF	-	-	130536	2.486	130536	2.486
Sub Total (B-ii)	272653	5.193	1656444	31.551	1929097	36.743
Total (B)	272653	5.193	1996672	38.032	2269325	43.225
Grand Total (A+B)	272653	5.193	4977347	94.807	5250000	100.00

**(m) DEMATERIALIZATION**

The Shares of the Company can be held in dematerialized mode with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL). The ISIN No. of the Company is INE 117 H 01019. As on 31.3.2021, 94.80% of the Equity Shares of the company were in dematerialized form and the balance 5.20% shares in physical form.

STATUS OF DEMATERIALIZATION AS ON MARCH 31, 2021

Particulars	No. of Shares	% of Total Capital	No. of Accounts
National Securities Depository Limited	3718756	70.83	1863
Central Depository Services (India) Limited	1258591	23.97	1274
TOTAL DEMATERIALIZED	4977347	94.80	3137
PHYSICAL	272653	5.20	4774
GRAND TOTAL	5250000	100.00	7911

n) Outstanding GDRs/ADRs/ warrants or any convertible Instruments, Conversion Dates and likely impact on equity

The Company has not issued any GDRs/ADRs/Warrants or any convertible instruments.

EQUITY SHARES IN THE SUSPENSE ACCOUNT

In terms of Clause 5A(I) of the Listing Agreement, the Company reports the following details in respect of equity shares lying in the suspense account which were issued to the shareholders of the Company:

	Number of shareholders	Number of equity shares
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on April 1, 2020	141	27524
Number of shareholders who approached the Company for transfer of shares from suspense account during the year	0	0
Number of shareholders to whom shares were transferred from the suspense account during the year	0	0
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on March 31, 2021	141	27524

No share was transferred from the suspense account to the shareholders' accounts, during the year.

The voting rights on the shares outstanding in the suspense account as on March 31, 2021 shall remain frozen till the rightful owner of such shares claims the shares.

- p) Plant Location : i) Upper Doab Sugar Mills, Ph .No.: 01398-250064
SHAMLI – 247 776 (U.P.) Fax No. : 01398-250032
ii) Shamli Distillery & Chemical Works, Ph. No. : 01398-250100
SHAMLI – 247 776 (U.P.) Fax No. : 01398-250097

Address for Correspondence	Registered Office	Share Transfer Agent
	Upper Doab Sugar Mills Shamli- U.P.-247776 Telephones: 01398-250064 Fax: 01398-250032	M/s. Alankit Assignments Ltd., Alankit House' 4E/2, Jhandewalan Extn. New Delhi-110 055 Telephones: 011-23541234, 011-42541234 Fax: 011-42540064

Shareholders holding shares in electronic mode should address all their correspondence to their respective Depository Participants.

- r) Secretarial Auditor : As a measure of good Corporate Governance practice, the Board of Directors of the Company appointed M/s Rahul Saini & Co., Company Secretaries, to conduct the Secretarial Audit for the financial year ended March 31, 2021.



s) Secretarial Audit for reconciliation of capital : As stipulated by SEBI, a Practicing Company Secretary carries out Reconciliation of Share Capital Audit to reconcile the total admitted capital with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) and the total issued and listed capital. This Audit is carried out every quarter and the report thereon is submitted to the Stock Exchange where the shares of the Company are listed.

The said Audit Reports confirm that the total issue / paid up capital is in agreement with the total number of shares in physical form and the total number of dematerialized shares held with NSDL and CDSL.

(15) Compliance officer : Mr. Ajay Kumar Jain is the Compliance officer for complying with the requirement of SEBI (LODR) Regulations 2015 with the BSE Limited.

(16) Compliance Certificate of the Auditors : The Secretarial Auditors have certified that the Company has complied with the conditions of Corporate Governance as stipulated in (LODR) Regulations, 2015 and the same is annexed to the Directors' Report on Corporate Governance.

The certificate from the Secretarial Auditors will be sent to the listed Stock Exchanges along with Annual Report of the Company.

(17) CEO/CFO Certification : Pursuant to SEBI (LODR) Regulations, 2015, the CEO/CFO have submitted the desired certificate to the Board of Directors & the same has been taken on record by the Board of Directors in their meeting held on 07.08.2021

For and on Behalf of the Board of Directors of
Sir Shadi Lal Enterprises Ltd

Place : Shamli

Dated: 7th August 2021

Rajat Lal
Managing Director
DIN-(00112489)

R.C.Sharma
Chairman
DIN (00023274)