



j) **Registrar & Transfer Agent**

: M/s Alankit Assignment Ltd., Alankit House, 2E/21, Jhandewalan Extension, New Delhi- 110 055 have been acting as the Registrar and Share Transfer Agent for shares of the company.

k) **Share Transfer System**

: The transfer of shares in physical form is processed by the Secretarial Department of the Company on the basis of data forwarded by the Share Transfer Agent, M/s Alankit Assignment Ltd. within the prescribed time. The Share Transfer Committee/Board of Directors approves transfer of shares in physical form, transmission of shares, transposition of name, consolidation/split of share Certificates, remat of shares and issue of duplicate share certificates in lieu of the lost/misplaced share certificates. The Share Transfer Committee of the Board of Directors meet as and when required to consider and approve the share transfer/transmission applications.

In case of shares in Electronic form the transfers are processed through Share Transfer Agent by NSDL/CDSL through respective Depository participants and the details on a regular basis are placed before the Share Transfer Committee of the Board of Directors / Board of Directors.

L) **DISTRIBUTION OF SHAREHOLDING AND SHAREHOLDING PATTERN AS ON 31.03.2019**

(I) **DISTRIBUTION OF SHAREHOLDING:**

Category	No. of Shareholders	%age	Physical	NSDL Demat	CDSL Demat	Total No. of Shares	%age
1 to 500	7307	93.404	4697	1656	954	191786	3.653
501 to 1000	198	2.531	55	91	52	145522	2.772
1001 to 2000	125	1.598	23	66	36	184191	3.508
2001 to 3000	46	0.588	7	22	17	116058	2.211
3001 to 4000	30	0.383	3	18	9	105626	2.012
4001 to 5000	29	0.371	2	14	13	132219	2.518
5001 to 10000	40	0.511	6	25	9	278017	5.296
10001 and above	48	0.614	8	29	11	4096581	78.030
Total	7823	100.000	4801	1921	1101	5250000	100.000

**SHAREHOLDING PATTERN:**

	Physical Form		Demat Form		Total	
	No. of Shares	(%age)	No. of Shares	(%age)	No. of Shares	(%age)
A. Promoters' Holding						
1. Indian Promoters	–	–	2865290	54.577	2865290	54.577
2. Foreign Promoters	–	–	–	–	–	–
Total (A)	–	–	2865290	54.577	2865290	54.577
B. Non-Promoters Holding						
(i) Institutional Investors						
1. Mutual Funds and UTI	–	–	–	–	–	–
2. Banks, Financial Institutions, Insurance Companies, (Central/State Government Institutions, Non-Government Institutions)	–	–	471214	8.976	471214	8.976
3. FIIs	–	–	–	–	–	–
Sub-total (B-i)	–	–	471214	8.976	471214	8.976
(ii) Non-Institutional						
1. Private Corporate Bodies	18676	0.356	242556	4.620	261232	4.976
2. Indian Public	306692	5.841	1332657	25.384	1639349	31.225
3. NRIs	2277	0.043	10638	0.203	12915	0.246
Sub total (B-ii)	327645	6.240	1585851	30.207	1913496	36.447
Total (B)	327645	6.240	2057065	39.183	2384710	45.423
Grand Total (A+B)	327645	6.240	4922355	93.760	5250000	100.000

(M) DEMATERIALIZATION

The Shares of the Company can be held in dematerialized mode with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL). The ISIN No. of the Company is INE 117 H 01019. As on 31.3. 2019, 94.16% of the Equity Shares of the company were in dematerialized form and the balance 5.84% shares in physical form.

STATUS OF DEMATERIALIZATION AS ON MARCH 31, 2019

Particulars	No. of Shares	% of Total Capital	No. of Accounts
National Securities Depository Limited	3668213	69.87	1921
Central Depository Services (India) Limited	1275095	24.29	1101
TOTAL DEMATERIALIZED	4943308	94.16	3022
PHYSICAL	306692	5.84	4801
GRAND TOTAL	5250000	100.00	7823

n) Outstanding GDRs/ADRs/ warrants or any convertible Instruments Conversion Dates and likely impact on equity

The Company has not issued any GDRs/ADRs/Warrants or any convertible instruments

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**EQUITY SHARES IN THE SUSPENSE ACCOUNT**

In terms of Clause 5A(I) of the Listing Agreement, the Company reports the following details in respect of equity shares lying in the suspense account which were issued to the shareholders of the Company:

	Number of shareholders	Number of equity shares
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on April 1, 2018	141	27524
Number of shareholders who approached the Company for transfer of shares from suspense account during the year	0	0
Number of shareholders to whom shares were transferred from the suspense account during the year	0	0
Aggregate Number of shareholders and the outstanding shares in the suspense account lying as on March 31, 2019	141	27524

No share was transferred from the suspense account to the shareholders' accounts, during the year.

The voting rights on the shares outstanding in the suspense account as on March 31, 2019 shall remain frozen till the rightful owner of such shares claims the shares.

- p) **Plant Location**
- i) Upper Doab Sugar Mills,
SHAMLI – 247 776 (U.P.) Ph. No. : 01398-250064
Fax No. : 01398-250032
- ii) Shamli Distillery & Chemical Works,
SHAMLI – 247 776 (U.P.) Ph. No. : 01398-250100
Fax No. : 01398-250097

Address for Correspondence	Registered Office	Share Transfer Agent
	4-A, Hansalaya 15, Barakhamba Road New Delhi-110 001 Telephones: 011-23316409 011-23310414 Fax: 011-23722193	M/s.Alankit Assignments Ltd., Alankit House' 2-E/21, Jhandewalan Extn New Delhi-110 055 Telephones: 011-23541234 011-42541234 Fax: 011-42540064

Shareholders holding shares in electronic mode should address all their correspondence to their respective Depository Participants.

- r) Secretarial Auditor : As a measure of good Corporate Governance practice, the Board of Directors of the Company appointed M/s Saini & Co., Company Secretaries, to conduct the Secretarial Audit for the financial year ended March 31, 2019.
- s) Secretarial Audit for reconciliation of capital : As stipulated by SEBI, a practicing Company Secretary carries out Secretarial Audit to reconcile the total admitted capital with National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) and the total issued and listed capital. This Audit is carried out every quarter and the report thereon is submitted to the Stock Exchange where the shares of the Company are listed. The said Audit Reports confirm that the total issue / paid up capital is in agreement with the total number of shares in physical form and the total number of dematerialized shares held with NSDL and CDSL.
- (15) Compliance Officer : Mr. Ajay Kumar Jain is the Compliance Officer for complying with the requirement of SEBI (LODR) Regulations 2015 with the BSE Limited



(16) Compliance Certificate of the Auditors

: The Secretarial Auditors have certified that the Company has complied with the conditions of Corporate Governance as stipulated in SEBI (LODR) Regulations, 2015 and the same is annexed to the Directors ' Report on Corporate Governance.

The certificate from the Statutory Auditors will be sent to the listed Stock Exchanges along with Annual Report of the Company.

(17) CEO/CFO Certification

: Pursuant to SEBI (LODR) Regulations, 2015, the CEO/ CFO have submitted the desired certificate to the Board of Directors & the same has been taken on record by the Board of Directors in their meeting held on 22.07.2019.

For and on behalf of the Board of Directors of
Sir Shadi Lal Enterprises Ltd.,

Rajat Lal
Managing Director
(DIN-00112489)

R.C. Sharma
Chairman
(DIN-00023274)

Place: New Delhi
Dated: 22nd July, 2019